致 貴客戶：

<u>有關：新客戶協議規定</u>

茲通知 貴客戶，為符合證券及期貨事務監察委員會的最新要求，以下之新條款已被納入本公司的客戶協議內並於 2017 年 6 月 9 日生效：

"假如英皇向客戶招攬銷售或建議任何金融產品，該金融產品必須是英皇經考慮客戶的財政狀況、投資經驗及投資目標後而認為合理地適合客戶的。本協議的其他條文或任何其他英皇可能要求客戶簽署的文件及英皇可能要求客戶作出的聲明概不會減損本條款的效力。"

最新客戶協議已上載到英皇證券集團網站 www.emperorcapital.com。

感謝 貴客戶垂注，如有查詢，請聯絡 貴客戶之客戶主任或本公司之投資及客戶服務部(電話：(852)2919 2919)。

英皇證券(香港)有限公司
英皇財富管理有限公司
英皇期貨有限公司

謹啟

2017 年 6 月 9 日

(此信件由電腦自動編印，無需簽署。)

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9 June 2017

Dear Value Client,

<u>Re: New Client Agreement Requirement</u>

This is to notify you that as to conform to the latest requirements of Securities and Futures Commission, the following new clause has been included in our client agreement with effect from 9 June 2017.

"If Emperor solicit the sale of or recommend any financial product to the Client, the financial product must be reasonably suitable for the Client having regard to the Client's financial situation, investment experience and investment objectives. No other provision of this agreement or any other document Emperor may ask the Client to sign and no statement Emperor may ask the Client to make derogates from this clause."

The new version of client agreement has published at our website www.emperorcapital.com

Thank you for your kind attention and should you have any enquiries, please feel free to contact your designated Account Executive or our Investment & Customer Services Department at telephone (852) 2919 2919.

Yours faithfully,
Emperor Securities Limited
Emperor Wealth Management Limited
Emperor Futures Limited

(This is a computer generated printout and no signature is required.)